



RISK SERVICES

Notice to Injured Employee

In order to file a Workers Compensation claim, you will need to review and sign the forms included in this document. All five forms must be sent to Risk Services for claim filing. **Your claim will not be submitted until all five forms are received by Risk Services.** If you choose to complete these forms by hand, please ensure all writing is legible. Forms may be submitted via email or in person at the Risk Services.

****Please be advised completing and submitting your forms does *not* guarantee your injury/illness claim is accepted by the state. An adjuster will contact you regarding the claim's acceptance or denial.**

Risk Services 505-273-1573

Mailing Address:

MSC01 1210

1 University of New Mexico

Albuquerque, NM 87131

Physical Location:

John and June Perovich Business Center

Suite 3300

claims@unm.edu

THIS FORM TO BE COMPLETED BY EMPLOYEE AND SUPERVISOR

| | | | | | | | | | |
|--|---|---|---|------------------------------------|--|------------------------------|--|--|--------------------------|
| 1. Name of Employer University of New Mexico | | | | 2. Department Name | | | | | |
| 3. Department Mailing Address | | | 4. Department Phone# | | | 5. Employee Work Phone # | | | |
| 6. Name: Last | | First | | Middle | 7. Male <input type="radio"/> | Female <input type="radio"/> | 8. Social Security # | | 9. Employee Home phone # |
| 10. Home Address | | | | 11. City or Town | | | 12. State | | 13. Zip Code |
| 14. Date of Birth | | 15. Age | 16. Marital Status Married <input type="radio"/> Single/Divorced <input type="radio"/> Separated <input type="radio"/> Unknown <input type="radio"/> | | | | 17. No. of children under 18 yrs. | | |
| 18. Date Hired | 19. No. of hours worked/day | | 20. No. of days worked/week | | 21. Normal starting time <input type="radio"/> AM <input type="radio"/> PM | | 22. Average earnings: hour week bi-week month year \$ PER <input type="radio"/> <input type="radio"/> <input type="radio"/> <input type="radio"/> <input type="radio"/> | | |
| 23. Date of injury | 24. Time of injury <input type="radio"/> AM <input type="radio"/> PM | | 25. First date unable to work | | 26. Was injured paid in full for this day? <input type="radio"/> YES <input type="radio"/> NO | | 27. Did injury occur on employer's premises? <input type="radio"/> YES <input type="radio"/> NO | | |
| 28. Where did the accident, illness, or exposure occur? | | | 29. City or Town | | 30. State NM | | 31. Zip Code | | |
| 32. Occupation when injured | | 33. Were these normal duties? <input type="radio"/> YES <input type="radio"/> NO | | | 34. If no, describe normal duties | | | | |
| 35. If occupational illness, date of diagnosis | | 36. Estimated time off work From To | | 37. Date employee returned to work | | 38. If fatal, date of death | | | |
| 39. Describe in detail how the injury/illness occurred and what the employee was doing when the injury/illness occurred. | | | | | | | | | |
| 40. Identify objects/substances which directly injured the employee (e.g. machine, vapor, poison, radiation, chemical, etc.) | | | | | | | | | |
| 41. Describe the nature of the injury or disease in detail and indicate the part of the body affected (e.g. amputation, broken bone, inhalation, etc.) | | | | | | | | | |
| 42. Name, address and phone number of witness(es) | | | | | | | | | |
| 43. Name & address of physician treating injury/illness | | | | | 44. Name & address of hospital or facility where treated | | | | |

DO NOT WRITE IN THIS COLUMN

| |
|---------------|
| ORG CODE |
| JOB CODE |
| LOCATION CODE |
| ENTERED BY |
| DATE ENTERED |

PLEASE COMPLETE REVERSE SIDE. FORM MUST BE COMPLETED ON BOTH SIDES. FORM E1.1 REVISED 11/2021

Mailstop Code: MSC01 1210

45. DESCRIPTION OF ACCIDENT: Circle the most appropriate description in each category (total of four circles):

| Source of Accident (Circle Only one) | | Causative Action (Circle Only one) | | Body Part Injured (Circle Only one) | | Injury Result (Circle Only one) | |
|---|-----|---------------------------------------|-----|--|------|---------------------------------------|------|
| Airpollutants | S01 | Bite(s), sting(s) | C01 | Abdomen, internal organs | 4101 | Amputation | 1001 |
| Blood | S02 | Bodily assault | C02 | Ankle(s) | 5201 | Burn, chemical | 1301 |
| Bodily motion | S03 | Caught in or between | C03 | Arms (both) | 3181 | Burn, heat | 1201 |
| Bodily fluid—patient | S04 | Contact with: | | Arm, lower | 3151 | Cardiovascular condition | 5101 |
| Boxes, barrels, etc. | S05 | Flying/falling object(s) | C04 | Arm, upper | 3111 | Concussion | 1401 |
| Building structural parts | S06 | Hot object(s), substance(s) | C05 | Back, lower | 4202 | Contusion, crushing, bruise | 1601 |
| Cart | S07 | Stationary object(s) | C06 | Back, upper | 4201 | Cut, laceration, puncture | 1701 |
| Chair | S08 | Conductive surface(s) | C07 | Brain | 1101 | Damage to prosthetic device | 9501 |
| Chemical liquids/vapor | S09 | Frayed wire(s) | C08 | Buttocks | 4402 | Dislocation | 1901 |
| Cleaning compound(s) | S10 | Intact wire(s) | C09 | Chest | 4301 | Electric shock, electrocution | 2001 |
| Door | S11 | Irritant(s) | C10 | Chin | 1401 | Exposure to: | |
| Dust,particle(s), chip(s) | S12 | Machinery | C11 | Ear(s), outside | 1211 | Chemical(s) | 2702 |
| Elevator | S13 | Moving object(s) | C12 | Ear(s), inside | 1241 | Contagious agent(s) | 1502 |
| Employee | S14 | Exposure to:Chemical(s) | C14 | Elbow(s) | 3130 | Hepatitis B | 3301 |
| Fire, smoke | S15 | Cold | C15 | Eye(s) | 1301 | Hepatitis C | 3302 |
| Food | S16 | Contagious agent(s) | C16 | Face | 1481 | HIV | 2721 |
| Glass | S18 | Heat | C17 | Finger(s) | 3401 | Measles | 2703 |
| Hand tool (manual) | S19 | Hepatitis B | C18 | Foot or feet | 5301 | Radiation | 2901 |
| Hand tool (power) | S20 | Hepatitis C | C19 | Groin | 4401 | Tuberculosis | 1571 |
| Heparin lock | S21 | HIV | C20 | Hand(s) | 3301 | Other,specify_____ | 2704 |
| Hospital bed | S32 | Tuberculosis | C22 | Head | 1001 | Fracture | 2101 |
| IM injection | S22 | Other,specify_____ | C21 | Heart | 4304 | Hearing loss or impairment | 2301 |
| Insulin injection | S23 | Fall from:Chair | C23 | Hip(s) | 4401 | Heat stroke | 2401 |
| IV catheter | S24 | Seat | C24 | Jaw | 1411 | Hernia, rupture | 2501 |
| IV direct push | S25 | Vehicle | C25 | Knee(s) | 5131 | Infection | 1501 |
| IV piggyback | S26 | Foreign object(s) | C26 | Legs (both) | 5181 | Influenza, pneumonia, asthma | 5720 |
| IV pole | S27 | Handlingtrash | C27 | Leg, lower (calf) | 5151 | Joint(s) inflammation | 2601 |
| Linen | S28 | Ingestion | C28 | Leg, upper (thigh) | 5111 | Mental disorder(s) | 5401 |
| Machinery | S29 | Inhalation | C29 | Lung(s) | 4303 | Multiple injuries | 4001 |
| Office equipment, furniture | S30 | Lifting | C30 | Mouth | 1442 | Needle stick—clean | 1702 |
| Other, specify_____ | | Needle handling | C31 | Multiple body parts | 7001 | Needle stick—contaminated | 1703 |
| | | Needle handling trash | C32 | Neck | 2001 | Neoplasm, tumor | 5501 |
| | | Needle resheathing | C33 | Non-intact skin | 9991 | Nervous system condition | 5601 |
| | | Other, specify_____ | C99 | Nose | 1461 | No illness | 8001 |
| S99 | | Pushing/pulling | C34 | Other,specify_____ | 7001 | No injury | 9001 |
| Patient | S31 | Repetitive motion: | | Ribs | 4302 | Occupational disease, specify_____ | 9901 |
| Phlebotomy—blood drawing | S35 | Leg(s), arm(s) | C35 | Scalp | 1501 | Other injury, specify_____ | 9951 |
| Sharp instrument | S36 | Torso | C36 | Shoulder(s) | 4501 | Poisoning | 2701 |
| Step(s), ladder(s) | S37 | Wrist(s) | C37 | Skull | 1601 | Repetitive stress injury | 2651 |
| Stretcher | S33 | Restraining patient | C38 | Throat | 1441 | Respiratory system condition | 5701 |
| Syringe handling | S38 | Restraining visitor/other | C39 | Thumb(s) | 3401 | Scratch(es), abrasion(s) | 3001 |
| Vehicle | S39 | Sharp disposal | C40 | Toe(s) | 5401 | Sharp object injury | 1704 |
| Visitor/other | S40 | Sharp handling trash | C41 | Tooth or teeth | 1443 | Skin condition | 1891 |
| Walking/standing surface | S41 | Sharp object handling | C42 | Wrist(s) | 3201 | Sprain(s), strain(s) | 3101 |
| Water | S42 | Shock | C44 | | | Strangulation | 1101 |
| Wheelchair | S34 | Slip/trip—no fall | C45 | | | | |
| | | Slip/trip/fall: | | | | | |
| | | Ladder/scaffolding | C46 | | | | |
| | | Same level | C47 | | | | |
| | | Stair/ramp | C48 | | | | |
| | | Splash/splatter blood | C49 | | | | |
| | | Splash/splatter body fluid | C50 | | | | |
| | | Twisting torso | C52 | | | | |
| Enter Accident Code | | Enter Action Code | | Enter Injury Code | | Enter Results Code | |

| | | | |
|------------------------------------|---|---|--|
| 46. Date supervisor knew of injury | 47. Was safety device or regulation provided? <input type="radio"/> YES <input type="radio"/> NO <input type="radio"/> N/A | 48. Was safety device or regulation used? <input type="radio"/> YES <input type="radio"/> NO | 49. Was injury caused by injured's failure to use safety device? <input type="radio"/> YES <input type="radio"/> NO |
|------------------------------------|---|---|--|

50. If injury was caused by failure to use safety device, please describe.

51. Supervisor comments

| | | | |
|------------------------------------|--------------------------|----------|------------------------|
| 52. Supervisor Name (Please Print) | 53. Supervisor UNM NetID | 54. Date | 55. Supervisor phone # |
|------------------------------------|--------------------------|----------|------------------------|

| | |
|----------------------------|----------------------|
| 56. Supervisor's Signature | 57. Supervisor title |
|----------------------------|----------------------|

| | |
|------------------------|----------|
| 58. Employee Signature | 59. Date |
|------------------------|----------|



NOTICE OF ACCIDENT OR OCCUPATIONAL DISEASE DISABLEMENT NOTIFICACION DE ACCIDENTE O ENFERMEDAD DE OFICIO

In accordance with New Mexico law, Section 52-1-29, Section 52-3-19 and Section 52-1-49, NMSA 1978; NMAC 11.4.4.11
 Conforme a la Ley de la Compensación de los Trabajadores, Sección 52-1-29, Sección 52-3-19 y Sección 52-1-49, NMSA 1978; NMAC 11.4.4.11

I, _____, was involved in an on-the-job accident or was disabled
 Yo, (name of employee/nombre del empleado) me lastimé en un accidente en el trabajo o fui incapacitado

by an occupational disease at approximately _____, on _____, 20_____.
 por enfermedad de oficio aproximadamente (time/la la(s) hora(s)) el (date/fecha) del 20_____.

Employee's social security number: _____ Where did the accident occur? _____
 Número de suguro social del empleado: ¿Dónde ocurrió el accidente?

What happened? _____
 ¿Qué ocurrió? _____

| | |
|--|---|
| To be completed by Employer: Completado por el empleador: If Yes, Employer has right to change health care provider after 60 days. En caso afirmativo, el empleador tiene derecho a cambiar de proveedor de atención médica después de 60 días. WORKER MUST INITIAL _____ | Worker will choose health care provider. Yes__ No X Trabajador elegir proveedor de atención médica. If No, Worker has the right to change health care provider after 60 days. En caso que no elige, el trabajador tiene derecho a cambiar de proveedor de atención médica después de 60 días. INICIALES DEL TRABAJADOR |
|--|---|

Signed: _____ Signed/Notice Received: _____
 Firma: (employee/empleado) Firma/Notificación recibida: (employer or representative/empleador o representante)
 Date/Fecha: _____ Date/Fecha: _____

ANY PERSON WHO KNOWINGLY PRESENTS A FALSE OR FRAUDULENT CLAIM FOR PAYMENT OF A LOSS OR BENEFIT OR KNOWINGLY PRESENTS FALSE INFORMATION IN AN APPLICATION FOR INSURANCE IS GUILTY OF A CRIME AND MAY BE SUBJECT TO CIVIL FINES AND CRIMINAL PENALTIES.

PREVIOUS NOA FORMS ARE STILL VALID FOR USE

Worker --
 For emergency medical care, go to any emergency medical facility.

Workers and Employers with questions about workers' compensation may contact an Ombudsman at any New Mexico Workers' Compensation Administration office for information and assistance. The offices are open Monday through Friday, 8 a.m. to 5 p.m., except holidays.

Trabajador
 Para emergencias médicas vaya a cualquier clínica / hospital.

Trabajadores y empleadores con preguntas acerca de la compensación de los trabajadores pueden comunicarse con un asesor ("ombudsman") a cualquier oficina de la Administración de la Compensación de los Trabajadores para información y asistencia. Las oficinas están abiertas desde las ocho de la mañana hasta las cinco de la tarde de lunes a viernes, con la excepción de días festivos.

Statewide Helpline -- Línea de Asistencia
1-866-WORKOMP / 1-866-967-5667
 toll free -- llamada sin costo de larga distancia
New Mexico Workers' Compensation Administration
PO Box 27198, Albuquerque, NM 87125

Albuquerque: (505) 841-6000 - 1 (800) 255-7965 Las Vegas: (505) 454-9251 - 1 (800) 281-7889 Santa Fe: (505) 476-7381
 Farmington: (505) 599-9746 - 1 (800) 568-7310 Lovington: (575) 396-3437 - 1 (800) 934-2450 TDD for the deaf: (505) 841-6043
 Las Cruces: (575) 524-6246 - 1 (800) 870-6826 Roswell: (575) 623-3997 - 1(866) 311-8587
www.workerscomp.state.nm.us

Employer/employee: Each keep one copy.
Empleador/empleado: Retener una copia.

**NEW MEXICO WORKERS' COMPENSATION ADMINISTRATION
WORKER'S AUTHORIZATION FOR USE AND DISCLOSURE OF HEALTH RECORDS**

Worker/Patient FULL NAME: _____ DOB: _____ SSN: XXX-XX-_____

FOR WCA REFERENCE ONLY: Date/s of Injury: _____ WCA Case File Number: _____

INSTRUCTIONS FOR USE: In accordance with NMSA 1978, § 52-10-1, a workers' compensation health care provider shall not require a signed medical authorization, in any form, for records that are directly related to any work place injuries or disabilities claimed by an injured worker. Costs for copying records are subject to non-clinical services fees set by the Administration, and shall not exceed \$1.00 per page for the first ten (10) pages or up to twenty-cents (\$0.20) for each page thereafter. A copy of this authorization may be used as an original.
Este formulario es obligatorio al presentar una queja. Si necesitas ayuda para completar este formulario, póngase en contacto con un ombudsman.

RELEASE OF HEALTH CARE RECORDS

I, (Print Worker's Name) _____, hereby authorize the following health care provider (HCP) or named facility to release my health care records for the **PURPOSE OF** facilitating and evaluating my Worker's Compensation Claim that arises from alleged workplace injuries or illnesses that occurred on the above date/s of injury.

| | |
|-----------------------|-------|
| Provider or Facility: | _____ |
| Address: | _____ |
| | _____ |
| | _____ |

I authorize the following records released (check box, as appropriate): **ALL RECORDS** / **SPECIFIC DATES** (provide a date range for records authorized to be released (_____))

RELEASE OF SPECIFIC HEALTH RECORDS

I FURTHER AUTHORIZE THE RELEASE OF RECORDS THAT MAY CONTAIN INFORMATION ABOUT THE FOLLOWING: (initial any that may apply).

____ Treatment for alcohol and/or substance abuse ____ Sexually transmitted diseases ____ HIV or AIDS
 ____ Behavioral or Mental Health, including Psychiatric or Psychological
 ____ Records of the Department of Health Medical Cannabis Program

Signature of Worker/Patient/Personal Representative

Date

PERSON/ENTITY AUTHORIZED TO RECEIVE RECORDS

I authorize records be released to my employer, my employer's insurer, my attorney or representative, my employer/insurer's attorney or representative, and IME providers.

(To be completed by authorized recipient/s): Records to be Picked Up Mailed Emailed Faxed Other (specify) _____

| | | |
|-------------------------|------------------------------------|--|
| Authorized Recipient/s: | UNM Risk Services | NM State Risk Management Division |
| Address: | 1 University of NM (MSC 01 1210) | PO Box 6850 |
| | Albuquerque, NM 87131 | Joseph Montoya Bldg., RM 2073 Santa Fe, NM 87502 |
| | (505)-273-1573 | (505)-872-2711 |
| Fax/Email: | (505)-277-7662 riskscvs@unm.edu | (505)-827-0685 |

**EXPIRATION and
CONDITIONS**

I UNDERSTAND THAT THIS AUTHORIZATION IS VOLUNTARY AND THAT I MAY REFUSE TO SIGN IT AND SUCH A REFUSAL TO SIGN MAY NOT AFFECT MY TREATMENT OR SERVICES, EXCEPT AS PERMITTED BY LAW. THIS AUTHORIZATION IS LIMITED TO USE AND DISCLOSURE OF MEDICAL RECORDS AND DOES NOT WAIVE ANY PATIENT DOCTOR PRIVILEGE WITHOUT MY SEPARATE AUTHORIZATION AND CONSENT. THIS AUTHORIZATION IS TO BE VALID FOR TWO (2) YEARS FROM THE DATE OF MY SIGNATURE. I UNDERSTAND INFORMATION DISCLOSED PURSUANT TO THIS AUTHORIZATION MAY BE REDISCLOSED BY THE RECIPIENT/S. I MAY REVOKE THIS AUTHORIZATION AT ANY TIME BY NOTIFYING THE HEALTH CARE PROVIDER OR FACILITY IN WRITING; A COPY OF ANY REVOCATION SHOULD BE PROVIDED TO THE RECIPIENT/S. UPON MY REQUEST, I AM ENTITLED TO A COPY OF THE SIGNED AUTHORIZATION.

Signature of Worker/Patient

Date

Signature of Personal Representative (if any)

Date

Printed Name of Personal Representative

Relationship to Worker/Patient

WORKERS' COMPENSATION CLAIM EXPLANATION

In reporting this alleged on-the-job injury/occupational illness, which occurred on _____, I, the undersigned, acknowledge the following items have been explained to me and that I understand each item.

1. By reporting this injury/illness to my supervisor or other designated person I am only complying with requirements of my agency's internal loss prevention procedures and the New Mexico Workers' Compensation Act. _____
(Initials)
2. Reporting the injury/illness does not automatically qualify me for Workers Compensation benefits.

(Initials)
3. My employer has the right to either direct me to a health care provider of their choice upon the report of this accident or permit me to select my own health care provider for treatment of my alleged job-incurred injury/illness. I am fully aware that unauthorized treatment may not be a covered Workers' Compensation benefit.

Choose one and sign.

- A. My employer chooses to select the health care provider for the first 60 days.

Employee Occupational Health Services

(Name of Physician)

(Employee Signature)

- B. My employer will permit me to select the health care provider for the first 60 days.

N/A
(Name of Physician)

N/A
(Employee Signature)

4. This injury will be investigated by my agency and Risk Management Division, who will determine if the injury/illness qualifies under the guidelines of the Workers' Compensation Act. _____
(Initials)
5. I will be advised by proper authority if particular investigative circumstances or facts **AT THE AGENCY LEVEL** cause the investigating person(s) to believe that the injury/illness is **NOT** within the purview of the Workers Compensation Act. If I am not satisfied with the determination at the agency level, I am aware that I may request reconsideration of my claim by the assigned Workers Compensation Claims Administrator at Risk Management Division at (505) 827-0232. _____
(Initials)
6. My supervisor or a designated agency representative (_____) will be promptly informed of all doctors' appointments, diagnosis/prognosis, billings and/or changes in treatment. _____
(Initials)

All information stated by me regarding this incident, to any person investigating said incident or representing my employer, is true and factual. Any willful untruths or misrepresentations regarding an alleged on-the-the job injury/illness will be regarded as falsification of official documents.

Print name of Employee

Print name of witness

Signature of Employee

Signature of witness

Date

Date

WORKERS' COMPENSATION BENEFITS EXPLANATION FORM

I, _____, acknowledge that the following items have been explained to me and that I do understand each item.

1. §10-7-13 NMSA prohibits public employees from receiving monthly salary for leave time in combination with workers' compensation benefits that exceeds 100% of the employee's monthly base salary. _____
(initials)

2. The workers' compensation benefit is computed at 66 2/3% of the employee's gross weekly base salary UP TO A SPECIFIED CAP For most individuals, this figure is equal to the pay received in 5.3 hours of the normal 8 hour work day and is recorded as Workers' Compensation Leave Without Pay (LWOP). The remaining 2.7 hours are charged to sick and/or annual leave or authorized LWOP. _____
(initials)

3. Unusual deductions such as private medical, dental, and legal insurance can continue as long as the remaining 2.7 hours (or more) per day are taken as sick and/or annual leave. If an employee runs out of sick and/or annual leave, the employee must bear the burden of paying his/her and the state's share of such deductions, unless the employee applies, and is approved for, leave under the Family and Medical Leave Act (FMLA). _____
(initials)

4. The first 5 work days (40 hours, 7 calendar days) that an employee loses time is **NOT** compensated until the employee has been off work for more than 28 calendar days. The first week is initially charged to sick and/or annual leave or authorized LWOP. _____
(initials)

5. After 28 calendar days off work, the first week's benefit check is paid. At this time, unless the employee was on LWOP, or in other words, did not have or use any sick or annual leave for that first 40 hours, the first week's benefit check will constitute an overpayment and violates §10-7-13 NMSA. Therefore, the employee must reimburse the agency for the amount of overpayment received. In return, the agency must reinstate the applicable amount of sick and/or annual leave used during the first week.

(initials)

6. The amount of overpayment will be computed by the agency upon receipt of the first week's check. Should the check be delivered **DIRECTLY** to the employee, it is the employee's responsibility to ensure proper procedures are followed. _____
(initials)

7. The responsibility for properly coding time sheets rests with the immediate supervisor. The injured employee must also ensure that time sheets are properly and accurately prepared. _____
(initials)

8. Any LWOP time in excess of 30 days, **INCLUDING THAT USED FOR WORKERS' COMPENSATION PURPOSES**, does not allow an individual to accrue service time towards retirement, unless the employee applies, and is approved for FMLA. All other LWOP time must be made up by actual service (productive) time. _____
(initials)

Print name of injured employee

Signature of injured employee

Date

WITNESS:

Name _____

Date _____